

DT07 Ree'd PCT/PTO 2 0 DEC 2004

| FORM PTC (REV. 1-20 | | CE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER 930086-2006 | | | | | | | |
|--|--|---|--|--|--|--|--|--|--|--|--|
|] | TRANSMITTAL LETTER TO DESIGNATED/ELECTED (CONCERNING A FILING U | OFFICE (DO/EO/US) | U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5) | | | | | | | | |
| 1 | | TERNATIONAL FILING DA 25 June 2003 (25.06.2003 | | PRIORITY DATE CLAIMED 26 June 2002 (26.06.2002) | | | | | | | |
| | | · · · · · · · · · · · · · · · · · · · | | CID ESTER DERIVATIVES | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Dae Whang KIM, Kun Hoe CHUNG, Hae Sung CHANG, Young Kwan KO, Jae Wook RYU, Jae Chun WOO, Dong Wan KOO | | | | | | | | | | | |
| Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. 🛛 | This is a FIRST submission of items | concerning a filing under 35 U | J.S.C. 371. | | | | | | | | |
| 2. 🗆 | This is a SECOND or SUBSEQUEN | T submission of items concer | ning a filing under 35 | 5 U.S.C. 371. | | | | | | | |
| 3. | This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. 🛛 | The US has been elected. | | | | | | | | | | |
| 5. 🛛 | A copy of the International Application | on as filed (35 U.S.C. 371(c)(2 | 2)) | • | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | |
| 7. 🖂 | a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | | | | |
| 8. 🗆 | A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | |
| 9. | An oath or declaration of the inventor | (s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | |
| Items 1 | to 20 below concern document(s) or | information included: | | | | | | | | | |
| 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (INCLUDING FORM 1449 AND COPIES OF CITED REFERENCES) | | | | | | | | | | | |
| 12. 🖂 | An assignment document for recording | g. A separate cover sheet in c | compliance with 37 C | FR 3.28 and 3.31 is included. | | | | | | | |
| 13. 🖂 | A preliminary amendment. | | | EXPRESS MAIL | | | | | | | |
| 14. 🔲 | An Application Datasheet under 37 Cl | FR 1.76. | Mailing Label Numbe | r: <u>EV206807630US</u> | | | | | | | |
| 15. 🗌 | A substitute specification. | | Date of Deposit: | <u>December 20, 2004</u> | | | | | | | |
| 16. 🔲 | A power of attorney and/or change of | address letter. | I hereby certify that the States Postal Service | is paper or fee is being deposited with the United | | | | | | | |
| 17. | A computer-readable form of the seque with PCT Rule 13ter.2 and 37 CFR 1.3 | | "Express Mail Post Of | ffice to Addressee" Service under 37 CFR 1.10 on | | | | | | | |
| 18. | A second copy of the published international application under 35 U.S.C. 154(d)(4). the date indicated above and is addressed to: Mail Stop Commissioner for Patents, P.O. Box 1450, Alexandria, VA 1450. | | | | | | | | | | |
| 19. | A second copy of the English languag international application under 35 U.S. | | (Typed/or | Renald R. Santucci | | | | | | | |
| 20. \(\text{Other items or information:} \) | | | | | | | | | | | |
| | International Search Report; International Search Report; International Patentability with annexes (5 pages) at WIPO cover page; check for \$540 | | (Sign | attre of person mailing paper or fee) | | | | | | | |

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| U.S. APPLICATION NO. (15 mown, see 37 CER 15) INTERNATIONAL APPLICATION NO. PCT/KR2003/001244 | | | | | | | | ATTORNEY'S DOCKET NO. 930086-2006 | | | |
|---|---|--------------|---|--------------------------|---|--------------|---------------------|--------------------------------------|--|--|--|
| 21. | 7 | The follow | ing fees are submitted: | | | | | | | | |
| | | a) Danie | maticus! for | ational fee | | | | \$300.00 | | | |
| | | | | | | | | \$200.00 | | | |
| ☒ | | | h fee | | | | \$500.00 | \$500.00 | | | |
| | TOTAL OF ABOVE CALCULATIONS=\$1,000.00 | | | | | | | \$1,000.00 | | | |
| | 1 | listing or c | fee for specification and omputer program listing 50 sheets of paper or frac | | | | | | | | |
| Total Sheets Ex | | heets | Extra sheets | | per of each additional 50 or fraction of fround up to a whole numbe | I RAIE | | | | | |
| | | - 100= | /50= | | | | x \$250.00 | \$ 0 | | | |
| | | | .00 for furnishing the oat ate (37 CFR 1.492(e)). | h or dec | claration later than 30 months f | rom the ear | liest | \$ 0 | | | |
| | С | CLAIMS | NUMBER FIL | NUMBER FILED NUMBER EXTR | | RATE | | | | | |
| | Tot | tal claims | 5 | - 20= | 0 | x \$50.00 | | \$ 0 | | | |
| Inc | depe | endent clair | ns 1 | - 3= | 0 | x \$200.00 | | \$ 0 | | | |
| MU | LTI | PLE DEPE | ENDENT CLAIM(S) (if | applicat | ole) | + | \$360.00 | \$ 0 | | | |
| | | | | | TOTAL OF AB | OVE CAL | CULATIONS = | \$ 0 | | | |
| ☒ | | Applicant c | laims small entity status. | See 37 | C.F.R. 1.27. The fees indicate | ed above ar | e reduced | \$500.00 | | | |
| | | | | | | | SUBTOTAL = | \$500.00 | | | |
| | | | 130.00 for furnishing the (37 CFR 1.492(f)). | Englis | h translation later than 30 mon | ths from the | e earliest + | \$ | | | |
| | TOTAL NATIONAL FEE = | | | | | | | | \$500.00 | | |
| | Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | | | | | |
| | | | \$540.00 | | | | | | | | |
| | | | | | | | | Amount to be refunded: | \$ | | |
| | | | | | | | | Amount to be charged: | \$ | | |
| | | | | | | | | | | | |
| a. | \boxtimes | A check | A check in the amount of \$540.00 to cover the above fees is enclosed. | | | | | | | | |
| b. | | | Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| c. | ☒ | | | | to charge any additional fees wood of this sheet is enclosed. | which may l | pe required, or cre | dit any overpayment to I | Deposit Personal Pers | | |
| d. | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | |
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| SEND ALL CORRESPONDENCE TO: | | | | | | | | Il Su | | | |
| Ronald R. Santucci | | | | | | | | KE () | | | |
| Frommer Lawrence & Haug LLP Ronald R | | | | | | | Ronald R. S | Santucci | | | |
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| New York, New York 10151 NAME | | | | | | | | | | | |
| 28,988 | | | | | | l | | | | | |
| December 20, 2004 REGISTR | | | | | | | REGISTRA | TION NUMBER | | | |
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